JC17 R PCT/PTO 10 JUN 2005

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, any required copies of documents listed thereon, and any concise explanation of their relevance is indicated below per 37 CFR 1.97.

|                        | 9 A PPSAI              |
|------------------------|------------------------|
| Application Number     | 10/9/0447              |
| Filing Date            | CONCURRENTLY           |
| First Named Inventor   | MIHAELA VAN DER SCHAAR |
| Group Art Unit         | N/A                    |
| Examiner Name          | N/A                    |
| Attorney Docket Number | US020546               |

|  | Please charge any required fee under §1.17(i) or §1.17(p) or any other required fee (except the issue fee) to Account No. 14-1270.   |   |   |                                |                                   |                                   |                              |  |
|--|--|---|---|--------------------------------|-----------------------------------|-----------------------------------|------------------------------|--|
| 1.   | ☐ I certify that these documents were first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months ago.   |   |   |                                |                                   |                                   |                              |  |
| 2.   | ☐ I certify that none of these documents were cited in any communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, none of these documents was known to any individual designated in §1.56(c) more than three (3) months ago. |   |   |                                |                                   |                                   |                              |  |
|  | Application Allowand   | cant hereby petitions<br>ce, pays the fee under | under §1.97(d) that t<br>§1.17(p) as indicate | his IDS be od below, and       | onsidered aff<br>d I certify 1.   | ter final Action or 2. as indicat | n or Notice of<br>ted above. |  |
|  | A fee months a   | under §1.17(p) is not fter the date of applic   | required under §1.93 ation or RCE, becau      | 7(c), after th<br>se I certify | e first Actior<br>l. or 2. as ind | on the merits icated above.       | and more than (3)            |  |
|  | A copy of the citations is not required because they were previously submitted or cited in the parent application (or in U.S. patent application Ser. No   |   |   |                                |                                   |                                   |                              |  |
|  | A copy of the U.S. patent(s) and patent application publication(s) in all U.S. national patent applications filed after June 30, 2003, and in all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003 under 37 CFR 1.491(b), are not required.                                |   |   |                                |                                   |                                   |                              |  |
|  | A concise explanation of the relevance of each non-English document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is enclosed per §1.98(a)(3).  |   |   |                                |                                   |                                   |                              |  |
| The co<br>§1.56(d  | The concise explanation of the relevance of any non-English document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is that the document is/was:   |   |   |                                |                                   |                                   |                              |  |
|  | 🛚 cited in   | the specification or                            | considered in draftin                         | g the specif                   | ication of this                   | s application;                    |                              |  |
|  | previously submitted or cited in the parent application (or in a related patent application Ser. No);  |   |   |                                |                                   |                                   |                              |  |
| Xcited as an "X" or "Y" document in a foreign Patent Office search report in a foreign counterpart application, a copy of which report is also enclosed. |  |   |   |                                |                                   |                                   |                              |  |
|  |  | SIGN  | ATURE OF APPLICANT,                           | ATTORNEY, O                    | OR AGENT REQ                      | UIRED                             |                              |  |
| Name (Pr   | int Type)  | Aaron Waxler                                    | -10   |                                | Registration No.                  | (Attorney/Agent)                  | 48,027                       |  |
| Signature  |  | 1   | 12 U  |                                | Date                              | 6/10/08                           |                              |  |

Approved to

Attorney Docket Number

ase through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet 1

Complete if Known Application Number Filing Date Concurrently

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

First Named Inventor VAN DER SCHAAR ET A Art Unit N/A **Examiner Name** N/A

US020546

| Examiner  | Cite   | Document Number                          | Publication Date | Name of Patentee or         | Pages, Columns, Lines, Where                    |
|-----------|--|--|------------------|-----------------------------|---|
| Initials* | Cite<br>No.1                                     | Number-Kind Code <sup>2 (if known)</sup> | MM-DD-YYYY       | Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |
|           |  | <sup>US-</sup> 2002/0054295 A1           | 05-09-2002       | MARLS                       |   |
| _         |  | <sup>US-</sup> 2002/0018210 A1           | 02-14-2002       | MARLS                       |   |
|           |  | <sup>US-</sup> 6,175,421 B1              | 01-16-2001       | FUCHS                       |   |
|           |  | US-                                      |                  |                             |   |
|           |  | US-                                      |                  |                             |   |
|           |  | US-                                      |                  |                             |   |
|           | ····   | US-                                      |                  |                             |   |
|           |  | US-                                      |                  |                             |   |
|           |  | US-                                      |                  |                             |   |
|           |  | US-                                      |                  | ,                           |   |
|           |  | US-                                      |                  |                             |   |
|           |  | US-                                      |                  |                             |   |
|           |  | US-                                      |                  |                             |   |
|           | ļ  | US-                                      |                  |                             |   |
|           | <u> </u>   | US-                                      |                  |                             | ***************************************         |
|           | 1  | US-                                      |                  |                             |   |
|           | <del>                                     </del> | US-                                      |                  |                             |   |
|           |  | US-                                      | 7.0.             |                             |   |
|           | <del>                                     </del> | US-                                      |                  | -                           |   |
|           |  | 1  | 1                |                             |   |

|                       |              | FOREI   | <b>GN PATENT DOCU</b>       | IMENTS   |   |                |
|-----------------------|--------------|---|-----------------------------|--|---|----------------|
| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document   | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|                       |              | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                             |  |   |                |
|                       |              | WO 01/67071 A2  | 09-13-2001                  | BORDEN   |   |                |
|                       |              | EP 0994 482 A2  | 04-19-2000                  | TOGASHI  |   |                |
|                       |              |   |                             |  |   |                |
|                       |              |   |                             |  |   |                |
|                       |              |   |                             |  |   |                |
|                       |              |   |                             |  |   |                |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature |            |  |
| Signature | Considered |  |
|           |            |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

JC17 REPORT/PTO 10 JUN 2005

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

a collection of information unless it contains a valid OMB control provided in the control provided in

١

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCI

|                                   | e for form 1449/PTO |    |           | re required to respond to a collection of information unless it contains a valid OMB control number.  Complete if Known |                      |  |  |
|-----------------------------------|---------------------|----|-----------|---|----------------------|--|--|
|                                   |                     |    |           | Application Number  | 10,20044/            |  |  |
| 1                                 |                     |    | CLOSURE   | Filing Date   | CONCURRENTLY         |  |  |
| STATEMENT BY APPLICANT            |                     |    | PPLICANT  | First Named Inventor  | VAN DER SCHAAR ET AL |  |  |
| (Use as many sheets as necessary) |                     |    | ecessary) | Art Unit  | N/A                  |  |  |
|                                   |                     |    |           | Examiner Name   | N/A                  |  |  |
| Sheet                             | 1                   | of | 1         | Attorney Docket Number  | US020546             |  |  |

| NON PATENT LITERATURE DOCUMENTS |                          |   |                |  |  |  |
|---------------------------------|--------------------------|---|----------------|--|--|--|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |  |  |  |
|                                 |                          | "AN INTEGRATED SOURCE CODING AND CONGESTION CONTROL FRAMEWORK FOR VIDEO STREAMING IN THE INTERNET", BY KANG-WON LEE ET AL., IEEE INFOCOM 2000   |                |  |  |  |
|                                 |                          |   |                |  |  |  |
|                                 |                          |   |                |  |  |  |
|                                 |                          |   |                |  |  |  |
|                                 |                          |   |                |  |  |  |
|                                 |                          |   |                |  |  |  |
|                                 |                          |   |                |  |  |  |
|                                 |                          |   |                |  |  |  |
|                                 |                          |   |                |  |  |  |
|                                 |                          |   |                |  |  |  |

| Examiner  | Date           |  |
|-----------|----------------|--|
| Signature | <br>Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.